## THE FUNCTIONAL OUTCOME OF LOCKING VS NON-LOCKING DUAL PLATE FIXATION IN INTRA-ARTICULAR FRACTURE DISTAL END HUMERUS: A RETROSPECTIVE COMPARATIVE STUDY

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## ABSTRACT

BACKGROUND

Despite all advances in treatment, it is a challenge to most of the orthopaedic surgeons to give better result in the management of distal end humerus intra-articular fractures, because it has complex anatomy. This study has been done to evaluate the functional outcome of double plate fixation with right angle to each other for intra-articular fracture of distal end humerus and compared with locking vs non-locking plate fixation.

## AIM

This study has been done to evaluate the functional outcome of double plate fixation with right angle to each other for intraarticular fracture of distal end humerus with comparison of locking and non-locking plate fixation.

## METHODS

This is retrospective comparative study done between 2006 and 2014 in the Department of Orthopaedics, G.R. Medical College and J.A. Group of Hospital, Gwalior (M.P.), India. All patients who were presented to Department of Orthopaedics with intra-articular fracture, distal end humerus fracture, medically fit patient who were of age group between 18-80 years of age with close or type 1 GA (Gustilo Anderson) compound fracture and fracture type AO type C were included. Other patients who sustained GA type 2 and 3, severely comminuted and severe osteoporotic bone were excluded.

## RESULT

A total no. of 60 patients. The age of patients ranged from 22 to 65 years. To classifying according to AO classification, 15 cases were of type C1, 39 were of type C2 and 06 were of type C3. The results were rated using Jupiter's criteria as excellent in 26 (43.3%) cases, good in 16 (26.7%), fair in 11 (18.3%) cases and poor in 07 (11.7%).

#### CONCLUSION

Double plate fixation is standard and effective method of treating intra-articular fracture of distal humerus, the method gives a stable fixation and allow early mobilization of elbow joint without risk of implant failure. In the study, there is no much advantage from the locking plate fixation in comparison with the non-locking plates.

#### **KEYWORD**S

Humerus, Distal, Intraarticular Fracture, Plate.

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#### INTRODUCTION

Despite all advances in treatment, it is a challenge to most of the orthopaedic surgeons to give better result in the management of distal humerus intra-articular fractures, because it has complex anatomy. Wide range of treatment available from conservative to operative treatment. Approximately, 7% of all adult fractures involve the elbow. Out of these, approximately 1/3<sup>rd</sup> involve the distal humerus. In late 80's, various authors came across with encouraging result of operative treatment for distal end humerus fractures reported by Jupiter et al (1985).<sup>(1)</sup> and Holdsworth BJ et al (1990).<sup>(2)</sup> Gabel et al (1987) have reported a method of

Financial or Other, Competing Interest: None. Submission 01-01-2016, Peer Review 05-03-2016, Acceptance 12-03-2016, Published 02-07-2016. Corresponding Author: Dr. Manish Bairagi, Assistant Professor, Department of Orthopaedics, G. R. Medical College & J. A. Hospital, Gwalior, Madhya Pradesh. E-mail: drmanish.ortho@gmail.com DOI: 10.14260/jemds/2016/810 fixation of these fractures using double plates with intercondylar screw.<sup>(3)</sup>

They claimed that the fixation was stable enough to start early mobilization leading to excellent-to-good result. Soon JK et al (2004) improved the AO technique for fixation of small intra-articular fragments, which made operation predictable and dual plate fixation in two plane has standard of treatment.<sup>(4)</sup> This study was done to evaluate the functional outcome of double plate fixation with right angle to each other for intra-articular fracture of distal end humerus were evaluated and those who fulfil the inclusion criteria were included in our study.

#### **METHODS AND MATERIALS**

This was a retrospective comparative study done between 2006 and 2014 in the Department of Orthopaedics, G.R. Medical College and J. A. Group of Hospital, Gwalior (M.P.), India. All patients who were presented to the Department of Orthopaedics with intra-articular fracture distal end humerus fracture and medically fit, who were of age group between 18-80 years of age with close or type 1 GA (Gustilo Anderson)

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compound fracture and fracture type AO and type C were included. Other patients who sustained GA type 2 and 3, severely comminuted and severe osteoporotic bone were excluded.

Careful history was taken from the patients, which related to other medical conditions, mode of injury and carefully examined the local area for wound and associated other injuries or fractures. Radiological evaluation was done in all cases by the Antero-Posterior, Lateral and Traction view of affected elbow, the fractures were classified according to OTA classification and the degree of comminution, displacement of fragments, condition of bone were noted. Elbow was initially immobilized in an above elbow posterior POP slab. All these patients were then subjected to surgery after pre-anaesthetic evaluation and preoperative antibiotics. The evaluation of functional outcome by Jupiter criteria (Table 1).

#### Surgical Procedure

The patient was given either brachial block/general anaesthesia. The patients were placed in the lateral decubitus position with the operative arm draped free, supported by pillow. A pneumatic tourniquet was used in all the cases. All cases were operated by trans-olecranon approach, a standard posterior incision was used extending from 12-15 cm above and 5 cm distal to the tip of olecranon full thickness skin flap were raised to reveal underlying fascia and olecranon. First ulnar nerve was carefully isolated before olecranon osteotomy and gently retracted.

The lower end of humerus was then exposed by either Vshaped or transverse osteotomy of the olecranon. Articular fracture restoration by temporary fixation by K-wires done first and any loose small articular fragment was removed. Then intercondylar fixation was done by 4 mm lag screw. A non-lag screw was used in cases with intra-articular comminution. The fixation of condyles to metaphysis was done after careful reduction and two K-wire fixation on each side (Medial and lateral) at 45° angle. The primary fixation of lateral (Postero-lateral) column was done by either molded 3.5DCP/reconstruction plate/1/3<sup>rd</sup> tubular plate or contoured 3.5 locking plate. But we usually preferred DCP/LCP, then additional fixation of medial column was done by either 1/3<sup>rd</sup> tubular plate, reconstruction plate or medial locking plate.

The osteotomised olecranon was reduced and fixation was done by TBW. In some cases, ulnar nerve was transposed anteriorly. After closure of wound and dressing, POP posterior slab was applied and post-operatively limb was elevated.

First dressing was done on 3<sup>rd</sup> postoperative day and started mobilization of elbow. Suture removal was done on 10-12<sup>th</sup> postoperative day, follow-up of patients was done every 15 days for first month and then every month for at least 6 months. At each follow-up, a thorough clinical and radiological evaluation was done. At six months postoperatively, clinical evaluation was done using Jupiter's criteria (Table No. 1).

#### RESULT

A total of 60 patients were included in our study who fulfilled the inclusion criteria. The age of the patient was ranged from 22 to 65 years with the mean age of 39.7 years. Males were the predominant in our study. There were 40 (66.6%) males and 20 (33.3%) with the mean age of the male patients was 37.2 years and female was 44 years. Right limb

was involved more than left limb, right limb in 36 (60%) and left limb involved in 24 (40%) cases.

In our study major mode of trauma was self-fall, which was seen in 40 (60.66%) cases. Other mode of injury were road traffic accidents in 19 (31.66%) and assault in 01 (1.66%) case.

According to AO (OTA) classification, 15 cases were of type C1, 39 were of type C2 and 06 were of type C3. In this study, we included the cases who had undergone trans-olecranon osteotomy approach to the fracture and no complication of olecranon osteotomy was seen. The results were evaluated using Jupiter's criteria as excellent in 26 (43.3%) cases, good in 16 (26.7%), fair in 11 (18.3%) cases and poor in 07 (11.7%).

In type C1 fractures patient had excellent outcome in 02 (13.3%) cases, good in 04 (26.7%), fair in 05 (33.3%) and poor in 04 (26.7%) cases. In type C2 fractures excellent outcome was seen in 21 (53.8%) cases, good in 10 (25.6%), fair in 05 (12.8%), and poor in 03 (7.7%) cases. Patients with type C3 fractures had excellent outcome in 03 (50%) cases, good 02 (33.3%) cases, fair 01 (16.7%) cases and no poor outcome was seen.

In our study, out of 60 patients 26 were fixed with nonlocking plates and 34 patients were fixed with locking plates. In non-locking plate fixation group, excellent result was seen in 12 (46.15%) cases, good in 09 (34.6%) cases, fair in 02 (7.7%) cases and poor in 03 (11.53%) cases.

In locking plate fixation group 16 (47.05%) had excellent result, good in 09 (26.47%), fair in 05 (14.70%) and poor in 04 (11%) case. In both groups there was no significant difference in the functional outcome of these fractures, because result was depended on stable fixation and early mobilization of elbow joint.

The study demonstrates the effectiveness of double plate in securing a stable fixation in these difficult fractures, allowing early mobilization without risk of implant failure even in severely comminuted fractures of distal end humerus, thus giving better result than other treatment modalities for this fracture (Table No. 2-8).

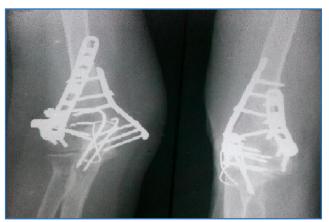
#### Complication

Complications include 6 superficial infections, two in nonlocking group, while 4 in locking plate group, 3 deep infections seen in non-locking plate group, implant failure in only 1 patient in the locking plate group, ulnar nerve involvement was seen in 6 cases, 4 in non-locking plate group and 2 in locking plate group. Non-union of supracondylar region was seen in only 1 case of the locking plate group (Table No. 9).



**Pre-Operative X-Ray** 

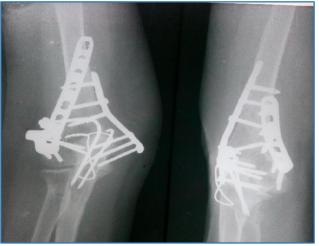
# **Original Article**



Post-Operative X-Ray



Functional Outcome



Post-Operative X-Ray





Intra-Operative Images

Result	Loss of Extension	Flexion	Pain	Disability	
Excellent	<15*	>130*	None	None	
Good	<30*	>120*	slight	Minimal	
Fair	<40*	>90*	With activity	Moderate	
Poor	<pre>&lt; &lt;40* &gt;90* variable Severe</pre>				
Table 1: Jupiter Criteria for Evaluation of Functional Outcome					

Evaluation of Functional Outcome

Age Group (yrs.)	Male	Female	Total	
18-30	13 (32.5%)	06 (30%)	19(31.66%)	
31-40	14 (35%)	06 (30%)	20 (33.33%)	
41-50	07 (17.5%)	05 (25%)	12 (20%)	
51-60	06 (15%)	02 (10%)	08 (13.33%)	
61-70	00 (00%)	01 (5%)	01 (1.66%)	
71-80	00 (00%)	00 (00%)	00 (00%)	
Total	40 (66.66%)	20 (33.33%)	60 (100%)	
Table 2: Distribution of Fracture				
According to Age and Sex				

Limb Side	Male	Female	Total		
Right	25 (65.5%)	11 (55%)	36 (60%)		
Left	15 (37.5%)	(37.5%) 09 (45%)			
Table 3: Distribution of Fractures According to Side Involved					

40(66.6%) 20(33.3%) 60(100%)

Mode of Trauma	Male No. of Patient (%)	Female No. of Patient (%)	Total	
FALL	25(62.5%)	15(75%)	40(60.66%)	
RTA	15(37.5%)	04(20%)	19(31.66%)	
Assault	00(0%)	01(05%)	01(1.66%)	
Total	40 (66.66%)	20 (33.33%)	60 (100%)	
Table 4: Distribution of Fracture				
According to Mode of Trauma				

Type of Fracture	Male No. of Patient (%)	Female No. of Patient (%)	Total
C1	15(37.5%)	00(0%)	15 (25%)
C2	21(52.5%)	18(90%)	39 (65%)
C3	04(12.5%)	02(10%)	06 (10%)
Total	40	20	60
Table 5: Type of Fracture According to A.O Classification			

Result	C1	C2	C3	Total	
Excellent	02	21	03	26 (43.33%)	
Good	04	10	02	16 (26.66%)	
Fair	05	05	01	11 (18.33%)	
Poor	04	03	00	07 (11.66%)	
Total 15 39 06 60					
Table 6: Functional Results (Jupiter's Criteria)					

Result	C1	C2	C3	Total
Excellent	04	06	02	12(46.15%)
Good	02	07	00	09(34.61%)
Fair	00	01	01	02(7.69%)
Poor	02	01	00	03(11.53%)
Total	08	15	03	26(100%)
Table 7: Non-Locking Plates Result				

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Results	C1	C2	C3	Total
Excellent	04	11	01	16(47.05%)
Good	01	06	02	09(26.47%)
Fair	00	05	00	05(14.70%)
Poor	02	02	00	04(11.76%)
Total	07	24	03	34(100%)
Table 8: Locking Plates Result				

No.	Complication	Non- Locking	Locking		
01	Sup. infection	02	04		
02	Deep infection	03	00		
03	Implant failure	00	01		
04	Ulnar nerve involvement (Temporary)	04	02		
05	Myositis ossificans	00	00		
06	Non-union of supracondylar region	00	01		
07	Non-union of olecranon osteotomy	00	00		
Table 9: Complication					

#### DISCUSSION

We studied 60 patients with distal humerus intra-articular fractures and they were divided into two groups. One with the patients treated with locking plates and other with the non-locking plates with 26 patients in non-locking plate group and 34 in locking group. Trans-olecranon approach was used in all the cases and dual plates right angle to each other. Fixation at right angle to each other to provide strong biomechanical construct in two coronal and sagittal plane. All patients were followed up for a minimum period of 6 months and result was evaluated using Jupiter's score based on range of motion (Flexion, Loss of Extension), Pain and Disability.<sup>(1)</sup>

Early surgical intervention, anatomic restoration of the articular surface and rigid internal fixation with early aggressive physical therapy appears to be the recipe for the best surgical outcomes in intra-articular distal humeral fractures.<sup>(5)</sup> This was advocated by Cassebaum in 1952. Aitken and Rorabeck further demonstrated that prolonged immobilisation resulted in poor outcome.

In 2004, J. L. Soon et al reviewed 15 patients with intraarticular distal humerus fractures treated surgically, of which three required subsequent re-fixation and four underwent joint mobilisation surgery. The mean arc of flexion of patients with type C fractures following primary fixation was 92.5° ranged from 45-140°, whilst the type B group have a mean arc of 110° ranged from 60-145°. The sub-group of type C patients without revision surgery had a mean flexion arc of 110.7° ranged from 95-140° with 100% Good-to-Excellent scores. Overall, 86.7% Good-to-Excellent results was achieved in their study.<sup>(4)</sup>

In our study we achieved excellent-to-good result in 57% cases, fair in 19% and poor in 12% cases, while functional outcome does not have significant differences whether fixed by non-locking and non-locking plate in reference to ROM, pain and stability; however, locking plate fixation have significant role in stability in fixation of especially compromised bone quality, but in our study most of the patients more than 50% in age group between 20-40 years.

In 1990, Helfet et al compared three commonly used configurations of various implants used for fixation of distal humeral fractures. The double plate construct, irrespective of plate type was significantly stronger, both in rigidity and fatigue testing than cross screws or the single "Y" plate. If rigid stabilization of supracondylar or bicondylar distal humeral fractures is desired, then two plate constructs at right angles are biomechanically optimal.<sup>(6)</sup> Hence, in our study two most important points for the better outcome were anatomic restoration of intercondylar fracture, distal end humerus by interfragmentary transverse 4 mm cc screw fixation with compression to allow to convert the fracture from type C to type A and plates were made to fit the contour of the distal humerus.

In 1993, Helfet et al in his study obtained a reproducible results. The average excellent-to-good results with surgical treatment for Type C fractures was 75% ranged from 65-100%. Complications include heterotopic ossification in 4% cases, infection in 4%, ulnar nerve palsy in 7%, failure of fixation in 5% and non-union in 2% cases.<sup>(7)</sup>

In our study we had complications in 17 cases, 9 in nonlocking plate group and 8 in locking plate group. Complications include 6 superficial infection, two in non-locking group, while 4 in locking plate group, 3 deep infections seen in non-locking plate group, implant failure in only 1 patient in the locking plate group, ulnar nerve involvement was seen in 6 cases, 4 in non-locking plate group and 2 in locking plate group. Nonunion of supracondylar region was seen in only 1 case of the locking plate group.

Though, the series is small comprising of only 60 cases and the study demonstrate the effectiveness of double plate in securing a stable fixation in these difficult fractures, allowing early mobilization without risk of implant failure even in severely comminuted fractures of distal end humerus, thus giving better result than other treatment modality for this fracture.

#### CONCLUSION

Double plate fixation is the standard and effective method of treating intra-articular fracture of distal humerus. The procedure is relatively difficult and requires skill to restore anatomical congruence of articular surface. The method gives a stable fixation and allows early mobilization of elbow joint without risk of implant failure. The posterior trans-olecranon approach is safe and provides wide exposure of intra-articular surface of distal humerus. The risk of non-union olecranon osteotomy can be minimized by using tension band wiring to fix the olecranon. Ulnar nerve must be carefully isolated as there is risk of injury to it. We have seen that there is no much advantage from the locking plate fixation in comparison with the non-locking plates with respect to the functional outcome apart from the stable fixation. Complications in our study is minimal and can be controlled by meticulous intra-operative and post-operative care.

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