# ECHOCARDIOGRAPHIC FINDINGS IN COPD AND THEIR CORRELATION TO SEVERITY OF DISEASE- AN OBSERVATIONAL STUDY FROM NORTH INDIA

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### ABSTRACT

#### BACKGROUND

Cardiovascular disease accounts for much of mortality and morbidity in COPD, according to literature echocardiography provides a rapid, non-invasive and accurate method to evaluate cardiac function. This study was undertaken to study the echo-cardiographic findings in COPD patients and to assess their correlation to severity of COPD.

#### **MATERIALS & METHODS**

50 patients who were fulfilling selection criterion were randomly selected for this prospective observational study. They underwent thorough history taking and physical examination, basic laboratory investigations, chest X ray, PFT, ECG and transthoracic echocardiography to look for PAH and cor-pulmonale.

#### **RESULTS**

In this study 84% of the patients were males and 16% were females. The mean age was 59.94 (±10.37) years, range 40-85 years. Majority of the patients had history of tobacco exposure of at least 20-29 pack years. 36% of the patients had clinical evidence of congestive cardiac failure. Maximum number of patients (60%) had severe airflow obstruction at the time of presentation and only 4% had mild disease. Overall, 54% of the patients in this study had echocardiographic evidence of cor-pulmonale, 56% had pulmonary hypertension, 48% had right ventricular dilatation, 28% patients had right ventricular hypertrophy, 14% of the patients had features of RV failure while 8% patients had left ventricular systolic dysfunction. PAH was seen in 68%, 43% and 25% in the severe, moderate and mild disease groups, respectively. In the severe group, 73.3%(22/30) of the patients had echo evidence of pulmonary hypertension, and 76.7%(23/30) had cor-pulmonale. Only in the severe group 23.3% of the patients had echo evidence of RV failure. Left ventricular systolic dysfunction was present in 4 patients (13.3%), all had severe COPD.

### CONCLUSION

All the echocardiographic findings had statistically significant correlation with severity except RV hypertrophy, inter ventricular wall motion abnormality, and left ventricular systolic dysfunction. The incidence of all the echocardiographic findings increased as the severity of the disease increased. The echo signs of RV dilatation, RV failure, pulmonary hypertension, cor-pulmonale and LVDD correlated significantly with the severity of the disease (p < 0.05)

## **KEYWORDS**

Echocardiography, COPD.

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## BACKGROUND

COPD is a leading cause of death and disability worldwide. According to World Bank data, it is expected to be the 3rd and 5th leading cause of mortality and morbidity, respectively, in 2020. COPD is associated with significant systemic effects among which cardiac manifestations are most common.

Cardiovascular disease accounts for approximately 50% of all hospitalization and nearly one third of all deaths, if FEV1 is < 50% of predicted. In more advanced COPD cardiovascular disease account for 20%–25% of all deaths.<sup>1</sup>

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COPD is now considered a multisystemic disease with secondary changes affecting the cardiovascular system most conspicuously. Echocardiography provides an easily available valid method to quantify these changes at the bedside.

## **MATERIALS AND METHODS**

This prospective observational study was undertaken to study the echocardiographic changes in COPD patients with different grades of severity of the disease, as assessed clinically and through pulmonary function testing, with the aim to-

- Study the echocardiographic findings in patients of COPD.
- Find out the correlation between echocardiographic findings and severity of COPD.

50 randomly selected patients who were admitted with signs and symptoms suggestive of COPD, both male and female, in PG Institute of Medicine, GSVM Medical College, Kanpur over a period of one and half years (Dec. 2012 to July

2014) were chosen after fulfilling selection criterion for this study.

#### **Inclusion Criteria**

- 1. Patients with history of cough with expectoration of at least 3 months duration in 2 consecutive years. Patients with history of breathlessness of long standing duration with or without cough.
- 2. Giving written consent.

### **Exclusion Criteria**

- 1. Patients with other diagnosed or suggestive pulmonary pathology like bronchial asthma, bronchiectasis, tuberculosis, pneumoconiosis, restrictive lung disease like kyphoscoliosis etc.
- Patients with Rheumatic, Congenital or acquired heart disease such as ischemic heart disease, cardiomyopathies and systemic hypertension>140/90 mm Hg.

Patients were investigated when their condition stabilized, before they were discharged, after obtaining informed consent. In history taking, patients were asked about the duration of symptoms like cough, breathlessness, amount, nature and diurnal variation of expectoration and severity of breathlessness to clinically categorize them into predominant chronic bronchitis and predominant emphysema.

Patients were also asked about history of pedal edema, distension of abdomen, puffiness of face, right hypochondriac pain, to know whether the patient had developed RV dysfunction. Patients were asked about history suggestive of other respiratory and cardiovascular diseases. General physical and systemic clinical examination especially relevant to respiratory and cardiovascular system was done. Particular attention was given to the presence or absence of cyanosis, clubbing of fingers and signs of right heart failure.

According to Pulmonary Function Test, severity of COPD was assessed according to British Thoracic Society guidelines as Mild: FEV1 60-79% of predicted, Moderate: FEV1 = 40-59% of predicted, Severe: FEV1<40% of predicted.

A chest X –ray PA view was taken in all patient and were screened for evidence of chronic bronchitis, emphysema, cardiomegaly and evidence of pulmonary hypertension.

A 12 lead ECG was taken in all the patients under study and 'P Pulmonale', RVH, Low voltage complexes, Poor progression of 'R' waves, Incomplete RBBB. Arrhythmias were looked for.

## **Echocardiography**

All patients were subjected to echocardiographic examination including 2-D and M-mode echocardiography to note the presence of pulmonary hypertension, right ventricular hypertrophy, right ventricular dilatation and right ventricular failure and left ventricular systolic or diastolic dysfunction.

## The following points were noted:

- 1. Pulmonary artery diameter.
- 2. Evidence of pulmonary hypertension on M-mode examination of pulmonary valve.

- 'a' wave (Normal 2.7 mm) (low in pulmonary hypertension).
- EF slope (Normal 36.9 ±25.4 mm/ sec) (low in pulmonary hypertension).
- Midsystolic notch and flutter.
- 3. Right ventricular hypertrophy (RVH).
  - Thickness of anterior wall and septum; if > 6 mm -RVH is present<sup>34</sup>
- Right ventricular diastolic dimension if > 25 mm, RV is dilated 34.
- 5. Right atrial dilatation (> 3.6 cm) 39.
- 6. Right ventricular failure.
  - Tricuspid regurgitation.
  - RV wall motion abnormality.
  - Dilatation of inferior vena cava and hepatic veins.

The presence of right ventricular dilation, right ventricular hypertrophy or right ventricular failure was taken as evidence of cor-pulmonale.

## The following conclusion were drawn

- Normal echo study
- Pulmonary hypertension
- Pulmonary hypertension with cor-pulmonale

Left ventricular function was also assessed by using the following parameters: EF (ejection fraction) = measure of how much end-diastolic volume is ejected from LV with each contraction (56%–78%)

E/A = diastolic filling of left ventricles usually classified initially on the basis of the peak mitral flow velocity of the early rapid filling wave (E), peak velocity of the late filling wave caused by atrial contraction (A). In normal subjects LV elastic recoil is vigorous because of normal myocardial relaxation, therefore more filling is completed during early diastolic, so left ventricular diastolic dysfunction (LVDD) is said to be present when E/A is <1.3 (age group 45–49 years), <1.2 (age group 50–59 years), <1.0 (age group 60-69 years), and <0.8 (age group  $\geq$ 70 years).

## All Patients were also subjected to Other Routine Investigations like-

- 1. Hb%, Total WBC count, Differential count, ESR
- 2. Urine Albumin, Sugar, microscopy
- 3. Sputum for Acid Fast Bacilli and Gram stain
- 4. Random blood Sugar, blood Urea, serum Creatinine
- 5. Arterial Blood Gas examination.

## **Statistical Methods**

Results were tabulated in Microsoft Office Excel 2007 worksheet and expressed in mean ± standard deviation for continuously distributed variables and in absolute numbers and percentages for discrete variables. Data was analysed using percentages and Chi Squaretest and p-value was computed; p value of less than 0.05 was considered significant. SPSS version 16 was used for statistical analysis. The results were graphically represented using Microsoft Office Excel 2007.

## RESULTS

In this study 84%(42) of the patients were males and 16%(8) were females. The mean age was 59.94 ( $\pm 10.37$ ) years, range 40-85 years. The maximum incidence of COPD in this study is among the age group 50-69 years i.e. in the 6th and  $7^{th}$  decade (66%).No patients were less than 40 years, only 4% of the patients were  $\geq 80$  years of age.

The mean duration of symptoms was 5.71 (±4.98) years, range 2 to 20 years. Maximum number of patients (62%) had symptoms of 1-5 years of duration, and patients in more than 10 years of symptoms were only 10%. The mean duration of tobacco use was 23.2 pack years with a range of 5 to 45 pack years. Majority of the patients had history of tobacco exposure of at least 20-29 pack years. Patients with less than 10 pack years of exposure were only 2%.

All patients in this study had history of breathlessness at presentation. 96% of the patients had cough with sputum at presentation. 38% of the patients presented with oedema. The most common sign at presentation is tachypnoea, 70% followed by epigastric pulsation. 32% of the patients had loud P2 suggestive of pulmonary hypertension.

36% of the patients had evidence of congestive cardiac failure like raised JVP, oedema, hepatomegaly. 30% of the patients had parasternal heave, the clinical evidence of right ventricular hypertrophy. 26% of the patients had cyanosis, clubbing or both which is evidence of a hypoxic state.

The mean FEV1 was 36.01 ( $\pm$  12.23) % of predicted, range 17 to 60 % of predicted. Maximum number of patients (60%) had severe airflow obstruction at the time of presentation and only 4% had mild disease. Majority of the patients with severe disease (70% i.e., 21/30 patients) had history of greater than 20 pack years of tobacco exposure.

Most common ECG finding in patients of COPD was Right ventricular hypertrophy (52%), followed by P Pulmonale (48%). Only 2% patients of COPD had found to have arrhythmia which is multifocal atrial tachycardia (MAT).

54% of the patients in this study had echocardiographic evidence of cor-pulmonale. 56% of the patients had echocardiographic evidence of pulmonary hypertension. 48% of the patients had right ventricular dilatation and 28% patients had right ventricular hypertrophy. 14% of the patients had echocardiographic features of RV failure and 18% had evidence of interventricular septal motion abnormalities. 38% of the patients had right atrial dilatation. 32% patients had left ventricular dysfunction and 8% patients had left ventricular systolic dysfunction.

In the mild group only 1 patient had echo evidence of pulmonary hypertension and no patient had evidence of corpulmonale. In moderate group, 27.8 %( i.e. 5/18) of the patients had echocardiography evidence of pulmonary hypertension and 22.2% (i.e. 4/18) had evidence of corpulmonale.

In the severe group, 73.3%(22/30) of the patients had echo evidence of pulmonary hypertension, and 76.7%(23/30) had echocardiographic evidence of corpulmonale. Only in the severe group 23.3%(7/30) of the patients had echo evidence of RV failure. Left ventricular diastolic dysfunction was present in 16 (57.7%) patients out of which 14 (46.6%) patients had severe COPD while 2 (11.1%) had moderate COPD. Left ventricular systolic dysfunction was present in 4 patients (13.3%), all had severe COPD. The echo signs of RA dilatation, RV dilatation, RV

failure, pulmonary. Hypertension, cor-pulmonale and LVDD correlated significantly with the severity of the disease (p< 0.05).

### DISCUSSION

#### 1. Sex Distribution

In this study the male: female ratio was 5.25:1.0, i.e. males form 84%(42/50) of the study subjects. This higher incidence of COPD in males can be attributed to smoking. In our study none of the females were smokers but all of them had history of cooking with dried cow dung or dried wood fuel. Males:Females ratio in other studies varied from 80%(J.C.Banergae;1966³) and 81.25(Chappell A.G.;1966,⁴) to 92%(Benjamin Burrows;1972,⁵)94.6%(V. K. Singh;1989.6)

## 2. Age Distribution

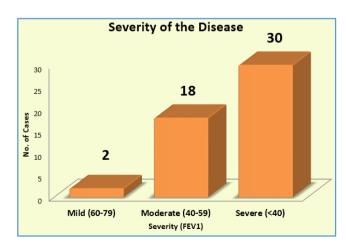
The maximum number of COPD patients (35/50) in this study was in the age group of 50-69 years with mean age  $59.94 \pm 10.37$  years, which is similar to previous studies  $50.2\pm12$  yrs. (Gupta & Khastgir,  $1989^7$ ), $56.5 \pm 7.4$  yrs. (Burrows,  $1972^5$ ), $59 \pm 7$  yrs. (Keller &Shepard,  $1986^8$ ), 59.25 yrs. (Putnik & Povazan,  $1988^9$ ), $66\pm6$  yrs. (Himelmann  $1988^{10}$ ). Patients between 50-70 yrs. form the maximum number of patients admitted, mainly because of the longer duration of tobacco exposure and repeated respiratory tract infections, which would have compromised their quality of life.

## 3. Duration of Symptoms

In this study most of the patients (31/50) gave history of symptoms of 1-5 years duration, with a mean duration of dyspnoea and cough of 5.71 years. In the study conducted by Gupta et al, 1989 $^7$ , the mean duration of symptoms was 8.9 $\pm$ 4.9 years and in the study of J.C. Banergae $^3$ , the mean duration of cough was 5.4 yrs. and dyspnoea was 1.94 years.

## 4. Severity of the disease

In the present study, 60% (30/50) of the patients had FEV1 < 40% of the predicted i.e. severe obstructive disease. Patients with mild obstructive defect (4% only), that is FEV1 60-79% of predicted are usually in the pre-symptomatic stage and are not likely to come to medical attention.



### Comparison with other Studies

FEV1	Gupta & Khastgir <sup>7</sup> (1989) n (%)	Higham et al, 1988 n (%)	Present n (%)
60-79% Mild	1(3.48%)	12(16.4%)	2(4%)
40-59% Moderate	5(17.2%)	19(26%)	18(36%)
< 40% Severe	23(79.3%)	42(57.6%)	30(60%)

So it is seen that the findings in this study correlate with the previous studies.

## 5. Duration of tobacco use and correlation to severity of disease

In this study, majority of the patients (30/50) had a history of tobacco use of atleast 20-29 pack-years, with a mean of 23.2 (±3.6) years. And according to BTS guide lines most patients with COPD have at least in 20 pack years of smoking history<sup>11</sup>. In the study by Gupta and Khastgir<sup>7</sup> mean of 26.4 (±16.1) pack years of smoking history was found, which is similar to the present study.

## 6. Symptoms at presentation

Breathlessness is the symptom that commonly causes the patient to seek medical attention, and is usually the most disabling of his symptoms. The increased number of patients with oedema and scanty urine in J.C. Banergea's study is because; all the patients in the study had cor-pulmonale.

### 7. Physical signs at presentation

Most of the patients in the present study had tachypnoea on presentation. Most of them had signs of hyperinflation, and also diminished breath sounds with prolonged expiratory phase. Clinical signs of right ventricular hypertrophy were present in 30%(15/50) of the patients and pulmonary hypertension in 32% (16/50) of the patients. The higher incidence of most of the signs of RVH and pulmonary hypertension and CHF in the study by Gupta and Khastgir<sup>7</sup> can be explained by the fact that their study included nearly 80% of the patients with severe disease (38% of the patients with FEV1 <20) % of predicted signifying advanced disease and only 17% of patients with moderate disease (FEV1 40-59) % of predicted compared to 36% in the present study.

## 8. ECG Findings

Author and Year	RVH (%)	P Pul- monale (%)	Low Volt- age QRS (%)	Poor Progression of R (%)
Ralph C. Scotte;1955 <sup>12</sup>	53.5	-	-	-
Phillips and Providence; 1958 <sup>13</sup>	38-72*	-	ı	-
Padmavathi& Pathak;1959 <sup>14</sup>	73	1	ı	-
Caird and Wilcken; 1962 <sup>15</sup>	16	36.7	ı	-
Fowler et al, 196316	-	33	40	27
A. G. Chappel; 1966 <sup>4</sup>	10	10	-	-
FJC Millard;1967 <sup>17</sup>	45.7	-	1	-

Silver and Calatayud, 1970 <sup>18</sup>	-	46.2	29	-
Padmavathi & Raizada; 1972 <sup>19</sup>	59.7	95	41	17.2
Murphy and Hutcheson; 1974 <sup>20</sup>	43.66	26.4	58.5	-
Gupta & Khastgir; 1989 <sup>7</sup>	50	43.3	-	-
Present Study	44	48	28	32

<sup>\*</sup> using different criterion.

In this study 44% (22/50) of the patients had ECG evidence of RVH, with criteria used as given by Braunwald<sup>21</sup>. The incidence of RVH by ECG varies in different studies, depending on the number of patients in cor-pulmonale in the study, and the criteria used by the authors. From the above table, it is clear that the incidence of RVH varies widely in different studies. Our findings correlate with the findings of F.J.C. Millard (45.7%) and Murphy and Hutcheson (43.66%) and Gupta and Khastgir(50%).

The findings of p-pulmonale in this study is similar to Gupta and Khastgir (43.3%)<sup>7</sup> and Silver Calatayud (46.2).<sup>18</sup> P-pulmonale has been used as an indirect evidence of right ventricular hypertrophy by various authors. (Winternitz, 1935; Hecht, 1937; Katz 1946; Wood 1948 etc.). Others regarded it as a positional changed due to hyperinflation, lowering of diaphragm and vertical position of the heart.<sup>19</sup> Padmavathi and Raizada<sup>19</sup> state that its occurrence must be attributed largely to positional and partly to hemodynamic changes, but it cannot be designated an unequivocal criterion of RVH.

The findings of low voltage QRS complexes in our study correlate with the study of Silver and Calatayud. Mark and Snider (1956) have ascribed this to an increased amount of air containing lung between heart and the chest wall, so that the direction of QRS vector becomes perpendicular to the frontal plane. This is described as evidence of emphysema. Poor progression of 'R' wave is also due to changes in the position of the heart due to pulmonary emphysema. In this study only one patient had transient multifocal atrial ectopic. In a study by Chappell<sup>4</sup> of 112 patients, one patient had atrial flutter, and another had paroxysmal atrial fibrillation.

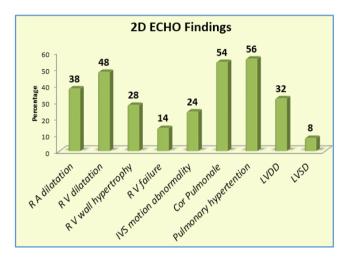
9. Analysis of Echocardiographic findings: In the present study, 54% (27/50) of the patients had echocardiographic evidence of cor-pulmonale, comprising of RV dilatation, RV hypertrophy, RA dilatation or evidence of RV failure, or interventricular septum motion abnormality. Incidence of the individual findings in this study and comparing with a previous study is as follows.

Echo finding	Himelmann, 1958¹⁰ (%)	Present study (%)		
R. V. dilatation	55	48		
R. A. enlargement	39	38		
R. V. hypertrophy	25	28		
Pulmonary hypertension	49	56		
Cor-pulmonale	75	54		

From the above table, it is clear that the findings in our study correlates well with most of the findings in the study by Himelmann, except the higher incidence of cor-pulmonale in his study. This can be explained by the fact all patients in that study had severe airway obstruction.

Incidence of inter-ventricular septum motion abnormality: In the present study (9/50) patients (18%) had echocardiographic evidence of inter-ventricular motion abnormality in the form of paradoxical movement or systolic bowing into the left ventricle, which is a sign of systolic over load of right ventricle. In a study by Danchin<sup>22</sup> (1987) 3 patients (7.5%) had paradoxical motion of the interventricular septum.

The classical view of the development of heart failure in patients with COPD is that hypoxia leads to pulmonary hypertension, which imposes, increased work on the right ventricle, leading to right ventricular hypertrophy and eventually RV dilatation and then RV failure. The RA dilatation is a consequence of systolic overload on RA due to RV hypertrophy. In present study left ventricular diastolic dysfunction is present in 57.7% patients, and left ventricular systolic dysfunction present in 13.3% in previous studies it was present in 4%–32% patients of COPD.<sup>23,24</sup>



# 10. Correlation of echocardiographic findings with severity of the disease

In the present study, the incidence of all the echocardiographic findings increased as the severity of the disease increased, i.e. maximum incidence was found in the most severely affected group of patients.

Echo Finding	M	ild	Mod	erate	Sev	ere	' <b>x</b> 2'	'P'
Echo Finding	No	%	No	%	No	%	X²	r
R. A. dilatation	1	50	3	16.7	15	50	4.584	0.032
R.V. dilatation			2	11	22	73.3	19.284	< 0.001
R.V. hypertrophy			3	16.7	11	36.7	2.794	0.095
R.V. failure					7	23.3	5.426	0.020
IVS motion abnormality			2	11.1	10	33.3	3.817	0.051
Pulmonary hypertension	1	50	5	27.8	22	73.3	9.145	0.002
Cor-pulmonale			4	22.2	23	76.7	15.513	< 0.001
LVDD			2	11.1	14	46.6	7.41	0.006
LVSD					4	13.3	2.90	0.089

All the findings had statistically significant correlation with severity except RV hypertrophy and inter ventricular wall motion abnormality, and left ventricular systolic dysfunction. This is probably because of (1) lesser number of patients in the moderate severity group. (2) Relative difficulty in getting the exact measurement of the thickness of R.V. free wall, as it is difficult to differentiate from the surrounding structures and (3) Local variations in the right ventricular wall thickness in relation to the presence of trabeculae the right ventricle.

Comparing the findings in moderate and severe groups in this study: R.A. dilatation -- 16.7% Vs 50%; R.V. dilatation -- 11% Vs 73.3%; R. V. Hypertrophy --16% Vs 36.7%; R. V. failure --0% Vs 23.3%; IVS motion abnormality --5.6% Vs 26.7%; Pulmonary hypertension --27.8% Vs 73.3%; Corpulmonale--22.2% Vs 76%; LVDD -- 11.1% Vs 46.6%; LVSD -- 0% Vs 13.3%.

Danchin (1987)<sup>22</sup> in his study compared echocardiographic parameters in COPD patients, with and without history of right heart failure, and found that patients who had no history of right heart failure had smaller right ventricular areas, that those with one or more episodes of right heart failure.

This implies that patients with history of right heart failure i.e. more severe disease is more likely to have right ventricular enlargement thus correlating with the finding in the present study.

Comparison of Pulmonary Hypertension.

Severity	Higham et al, 2001 <sup>25</sup>	Present study
Mild	(n=12) <b>25</b> %	(n=2) <b>50</b> %
Moderate	(n=19) <b>43</b> %	(n=18)28%
Severe	(n=42) <b>68</b> %	(n=30) <b>73</b> %

The relatively high incidence of pulmonary hypertension is the mild group in the present study is possibly because, there were only 2 patients in the mild group compared to 12 patients in study by Higham et al. But the incidence in the moderate and the severe groups are found to be fairly correlating.

In present study left ventricular diastolic dysfunction was present in 16 (57.7%) patients out of which 14 (46.6%) patients had severe COPD while 2 (11.1%) had moderate COPD which is statistically significant (p value- 0.006). Left ventricular systolic dysfunction was present in 4 patients (13.3), all had severe COPD. Which is statistically not significant (p value- 0.089).

## CONCLUSION

Echocardiographic evidence of cor-pulmonale was found in 54% of patients, pulmonary hypertension in 56%. Statistically significant correlation with severity was found in the incidence of RA and RV dilatation, RV failure, corpulmonale and LVDD among echo finding (P value < 0.05).

Our study found a positive correlation between the severity of disease and cardiovascular complications and an overall considerable prevalence of such complications in COPD patients. Such complications have additional treatment implications, making echocardiographic screening of COPD patients necessary and useful.

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